Theorizing Composition
A Critical Sourcebook of Theory and Scholarship in Contemporary Composition Studies

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VYGOTSKIAN THEORY

Summary
Lev Semyonovich Vygotsky (1896–1934), psychologist of the early Russian Soviet period, has had continuing (though politically troubled) influence in Russia since the late 1920s, and since the mid-1960s has been gaining increased attention in the United States and throughout the world. Particularly relevant to writing is his interest in the higher psychological functions, developed in the use of symbolic tools.

Starting out as a teacher of language and literature prior to the revolution, Vygotsky became interested in how structured texts can foster particular complex states of mind in the reader. The revolution, perceived to offer a radical break in human history by providing new conditions for the development of human personality, oriented his inquiry into the social formation of mind. This work appeared in its matured form in the last four years of his life, and is best known in the English-speaking world in the translated volumes Thought and Language and Mind in Society.

Vygotsky examined how minds develop within social interaction, transforming the individual’s biological legacy through the group’s cultural legacy. External forms of activity and social relationships he saw internalized as human mental activity; with the social nature of any psychological function preserved when it becomes internalized. Symmetrically, he saw culturally transmitted tools as the externalization of psychological functions. The cultural legacy he found expressed in tools developed to aid us in activities, which we deploy purposefully in tasks at hand. These tools are symbolic tools as well as material. A string around the finger or an alarm clock can act as an aid to memory.
abacus helps us remember and manipulate quantities, and thereby calculate financial transactions. Language helps us coordinate work and experiences with others, provide each other with guidance in the pursuit of tasks, and share representations of present, distant, or imagined worlds.

We learn to think using these tools. Without tools we are limited to acting and thinking with those things immediately at hand, and are thus, in essence, slaves to the visual field. A major leap is made when an ape or a human, seeing a piece of inaccessible fruit, remembers that a stick (not immediately present) could be used as an aid, and then seeks the stick to serve as a tool. The imaginative perception of a mental object then influences the material unfolding of the situation, through transformed perception and action. Mind is created in the pursuit of action, considering the material and symbolic tools available; and the development of individual human minds occurs through a history of participations in tool-mediated activities.

Language provides the most extensive tools for developing shared attention, working with others, and intervening in our own mental processes. Language is learned in human interaction, through which it develops its meanings, so that a parent and child in playing a game create ranges of mutual intelligibility and shared attention through negotiations of language, that negotiation being carried out by continuing interpretation and action on each other’s part. Language learned in interaction then provides an individual means of controlling one’s own attention, as when a child, in reenacting a hiding game by her- or himself, repeats the phrase, “Where is?” initially uttered by an adult play-partner. Vygotsky considers the development of a private, internal language as these traces of social language remain only in fragmentary internalized form, directing attention and cognition. What appears on the intramental plane of the individual first appears on the intermental plane of social interaction. As one learns, in practical apprenticeship, to use the cultural-historical legacy of the carpenter’s tool kit within the circumstances and tasks where the tools are demonstrated to be useful, so one learns to use, in daily interaction, the cultural-historical legacy of the language tool kit one is introduced to as a social medium; one then learns, through internalization, to use the language tool kit as the individualized medium of cognition.

What an individual may then be able to accomplish by oneself contains the residue of many previous interactions in the form of memories and tools, as well as the cultural memory built into the tools. What that person can do in new circumstances is further expanded by coordination with a partner who brings a different set of tools and memories to that task. The extent of coordination is as well affected by the tools of coordination—that is how flexibly and well one can talk and work with the partner. This new coordination allows one to reach beyond oneself in doing new tasks, learning new skills, imagining new thoughts.

This area of interaction Vygotsky calls the Zone of Proximal Development. One’s ability as a learner, for example is not to be measured simply by what one already knows, but by the extensiveness of the new situations one is able
to enter into successfully and thus learn from. Similarly, to make learning available for students, instructors must bring new material and skills into a zone of intelligibility, possible participation, and motivated interaction. Students recognize and incorporate the new tools only insofar as they help direct and shape attention and motives already forming in pursuit of some desired object. Vygotsky originally conceived the Zone of Proximal Development in terms of the dyad of a learner and an adult or more skilled peer, such that the zone was defined entirely by the larger knowledge and competence of a dominant matured person. We can, however, also think of a more open space of responsiveness as any two individuals of different skills, knowledge, and perception meet over a shared task, provide communicative challenges to each other, or together explore new tasks and situations. That is, learning through interaction can occur in a variety of circumstances that are not predetermined by finite, known skills embodied in a teacher.

Language in its abstract representations radically extends the reach of our imaginations, and allows others to bring to our mind nonpresent objects, not only from our shared prior memories (“the lunch we had last Tuesday”), but from the experience of one but not the other (“the fabulous restaurant I went to last week that I must tell you about”), or even reported events that neither shared (“the recipes Yasmin told me about, which she had learned from her family”).

Vygotsky was particularly interested in how the cultural heritage of organized concepts transmitted in school (usually translated unfortunately as “scientific concepts”) transformed the mind and thought of adolescents. Within his particular historical moment, Vygotsky did not, however, relativize or otherwise problematize official learning as embodied in school subjects, nor did he doubt univocal progress in science; rather, he saw the immediate challenge as educating an unschooled and poor peasantry. Nor did Vygotsky consider the other organized discourses that shape the character of interaction and cognition within other social institutions, such as scriptural religions, law, medicine, or commerce, even though such culturally organized discourses, with their particular sets of discursive tools, equally provide sites for individual development and transformation. Further, communal knowledge and memory are potentially expandable to all things reportable within the sociolinguistic communication systems we have developed; however, this knowledge is only transmitted through the differentiated social groupings, situations, and sociolinguistic media by which we encounter the utterances of others. That is, the linguistic resources and sites for social interaction may be fractured and multiple.

Vygotsky, in discussing the development of children’s ability to use literate signs, considers how written language might differ from spoken language as a tool along with writing’s consequences for human cognition. He points out that alphabetic writing is a second-order sign, or a sign of a sign. A picture can directly suggest an object, event, situation or memory. A word can directly call to mind the referent of the word. A spelled, alphabetic word, however, only
represents the sounds of the spoken word. Writing is also further removed from
the interlocutor than is speech. The abstraction of written language from expe-
rience presents special challenges for learning and motivation. Furthermore, the
distance writing creates between experience and representation and between ut-
terer and audience encourages reflection upon and fascination with written signs
as a system in themselves, both for good and for ill.

Value of the Theory for Writing and the Teaching of Writing

Vygotsky thus presents an account of mental growth in relation to language
learning and participation. He points to the use of written language as a tool
that extends our mental reach and provides opportunities for more extensive
interactions. Texts provide resources that can potentially extend the Zone of
Proximal Development if students are brought into interaction with those texts
in pursuit of their own objects—whether to build a model airplane, to articulate
an understanding of oneself and one’s world, or to argue to change an obnoxious
policy. The act of writing then can be seen as speaking to rich interactive en-
vironments drawing on the discursive resources provided by the environment,
both as previously internalized by the writer and as newly sought and brought
to bear on the occasion.

For writing teachers, Vygotsky draws our attention to the importance of con-
structing social and problem environments that will draw students into tasks that
will extend their language competences. We need to attend both to the motives
that impel our students and to the situations and resources we establish in the
classroom that will provide the tools and opportunities for student growth.

Further, Vygotsky points us toward the use of language for monitoring and
self-regulating our behavior, so that meta-languages of writing instruction,
whether rules, instructions, guidelines, rhetorical concepts, or other reflective
vocabulary, can assist with choice making in writing. However, such meta-
languages are useful only when they coordinate with the students’ own motives
and perceptions, so that they become internalized into students’ minds and
thoughts in orienting to writing situations. That is, the meta-languages of lan-
guage of instruction only provide positive educational value when brought into
a Zone of Proximal Development formed around motivated student writing
tasks.

Reception and Significance in Composition Studies

The richness and depth of Vygotsky’s thoughts have inspired a great variety
of work, and lie behind such now familiar and widely used concepts as situated
cognition, distributed cognition, guided participation, scaffolding, cognitive ap-
prenticeship, legitimate peripheral participation, and communities of practice.
The ideas of Vygotsky and his followers have been increasingly influential in
education studies (see Dyson, Moll, and Smagorinsky), and related ideas have
been elaborated under the rubric of Activity Theory (see Russian activity theory
in this volume). Among compositionists who have drawn directly on the work
of Vygotsky are Bazerman, Berkenkotter and Huckin, Dias et al., Nystrand,
Prior, and Zebroski. Within composition studies, Vygotsky’s ideas are often linked to the dialogism of Mikhail Bakhtin (see Dialogism/Bakhtinian Theory in this volume), but they also stand behind much of the work in genre theory and the study of specialized discourses. Of great importance in understanding the psychology of literacy is the work of Scribner and Cole.

BIBLIOGRAPHY

Primary Texts


Selected Secondary Texts


Major Scholarship in Composition


CHARLES BAZERMAN